

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
TUC920030191US1

In Re Application Of: **Kevin J. Ash, et al.**

Serial No.
10/814,061

Filing Date
March 31, 2004

Examiner
Unassigned

Group Art Unit
Unassigned

Title: **CONFIGURING CACHE MEMORY FROM A STORAGE CONTROLLER**

Address to:
**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
TUC920030191US1

In Re Application: Kevin J. Sah, et al.

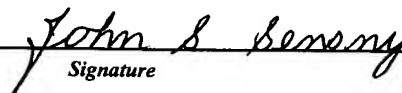
Serial No.
10/814,061Filing Date
March 31, 2004Examiner
UnassignedGroup Art Unit
Unassigned**CONFIGURING CACHE MEMORY FROM A STORAGE CONTROLLER****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 09-0449/IBM as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Mailing by First Class Mail

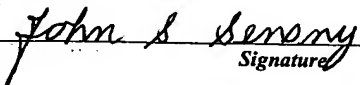
I certify that this document and fee is being deposited on 4/23/04 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Signature

John S. Sensny

Typed or Printed Name



Signature

Dated: April 23, 2004

John S. Sensny, Esq.
Registration No. 28,757Correspondence Address
Customer No. 23389

cc: JSS:jy



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kevin J. Ash, et al.

Examiner: Unassigned

Serial No: 10/814,061

Art Unit: Unassigned

Filed: March 31, 2004

Docket: TUC920030191US1 (17309)

For: CONFIGURING CACHE MEMORY
FROM A STORAGE CONTROLLER

Dated: April 23, 2004

Commissioner for Patents
United States Patent Office
P. O. Box 1450
Alexandria, VA 23313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,649,090, dated July 15, 1997 to Edwards, et al.;
2. U.S. Patent No. 5,761,413, dated June 2, 1998 to Frank, et al.;
3. U.S. Patent No. 6,513,097 B1, dated January 28, 2003 to Beardsley, et al.;
and
4. U.S. Patent No. 6,516,429 B1, dated February 4, 2003 to Bossen, et al.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Alexandria, VA 22313-1450 on

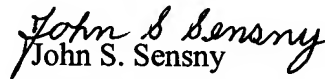
Dated: April 23, 2004

John S. Sensny
John S. Sensny

In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i), per 1276 OG 55, August 5, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,


John S. Sensny
Registration No.: 28,757
Attorney for Applicants

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

JSS:jy
Enclosure PTO 1449

**LIST OF REFERENCES
CITED BY APPLICANT**

(Use several sheets if necessary)

**Atty. Docket No.**

TUC920030191US1 (17309)

Serial No.

10/814,061

Applicant

Kevin J. Ash, et al.

Filing Date

March 31, 2004

Group

Unassigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	AA	5,649,090	7/15/97	Edwards, et al.			
	AB	5,761,413	6/2/98	Frank, et al.			
	AC	6,513,097 B1	1/28/03	Beardsley, et al.			
	AD	6,516,429 B1	2/4/03	Bossen, et al.			
	AE						
	AF						
	AG						
	AH						
	AI						

		Foreign Document Number	Date	Country	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER**DATE CONSIDERED**

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.